

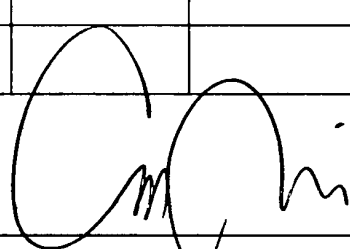
REPÚBLICA DEL ECUADOR



**SUPERINTENDENCIA
DE COMPAÑÍAS**

4. DATOS DE LOS SOCIOS O ACCIONISTAS DE LA COMPAÑÍA EXTRANJERA

No.	Nombres y Apellidos completos	Estado Civil	Nacionalidad	Domicilio
1	100% se cotiza en bolsa.			
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				


FIRMA DEL REPRESENTANTE LEGAL, SECRETARIO
ADMINISTRADOR O FUNCIONARIO DE LA SOCIEDAD
EXTRANJERA O APODERADO LOCAL

Nota 2.- Si este formulario hubiere sido otorgado en el exterior, deberá estar autenticado por Cónsul ecuatoriano o apostillado

FECHA DE PRESENTACIÓN _____
AÑO MES DÍA

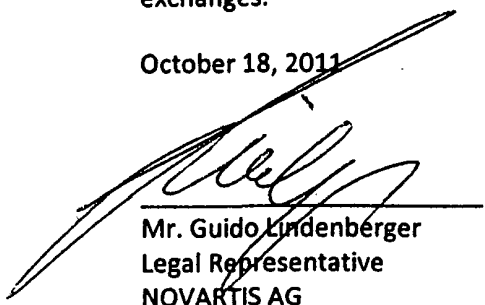
EL PRESENTE FORMULARIO NO SE ACEPTARÁ CON ENMENDADURAS O TACHONES



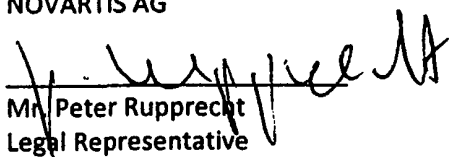
CERTIFICATION

The undersigned, Guido Lindenberg, in his capacity as Authorized Signatory, and Peter Rupprecht, as Authorized Signatory, and in such capacities as Legal Representatives of the company **Novartis AG**, a company incorporated under the laws of Switzerland, with domicile in Basel, certify **Novartis AG** is 100% publicly owned, and 100% of its shares are traded on stock exchanges.

October 18, 2011



Mr. Guido Lindenberg
Legal Representative
NOVARTIS AG

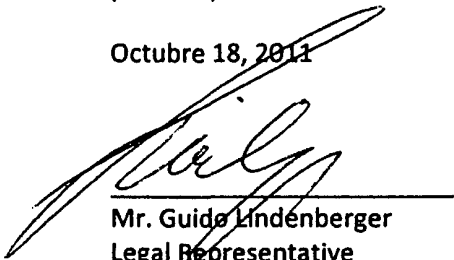


Mr. Peter Rupprecht
Legal Representative
NOVARTIS AG

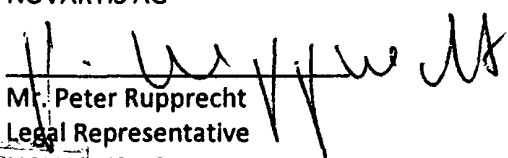
CERTIFICACION

Los que subscriben, señores Guido Lindenberg y Peter Rupprecht, como firmas autorizadas y representantes legales de la compañía **Novartis AG**, una compañía constituida bajo las leyes de Suiza con domicilio en Basel, certifican que el 100% del capital de **Novartis AG** es de emisión pública y el 100% sus acciones se cotizan en bolsa.

Octubre 18, 2011



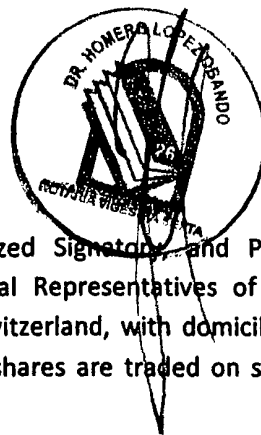
Mr. Guido Lindenberg
Legal Representative
NOVARTIS AG



Mr. Peter Rupprecht
Legal Representative
NOVARTIS AG



Dr. Paola Andrade Torres



ATTESTATION



I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staelin, certify herewith that the signatures attached heretofore are the genuine signatures of **Mr. Peter Rupprecht**, citizen of Basel, Switzerland, residing in Aesch/BL, Switzerland; and of **Mr. Guido Lindemberger**, citizen of Fehren, Switzerland, residing in Emmetten, Switzerland; both acting for **Novartis AG**, in Basel, Switzerland, both as authorized signatories, both with joint signature.

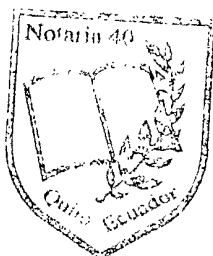
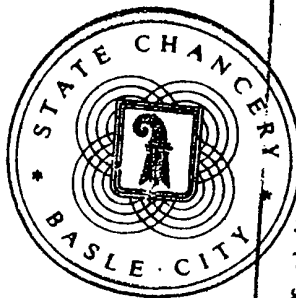
The authenticity of the signatures was established by means of comparison.

BASEL, Switzerland, this 26th (twenty-sixth) day of October 2011 (two thousand and eleven)

M. Staelin, Notar

Dr. M. Staelin
Notar

Leg.Prot.Nr. 2065 /2011



Dr. Paola Andrade Torres

APOSTILLE
(Hague Convention of October 5th, 1961)

- Country: Switzerland (Schweiz / Suisse)
- This official document
- is signed by *Dr. iur. M. Staelin*
- in his/her function as *notary public*
- and certified by the seal of the *as above mentioned*

Certified **26. Okt. 2011**

- in Basel (Bâle)
- on
- by the State Chancery of the Canton of Basel-Stadt
- no. **6833/14843**
- Seal / stamp:
- Signature: *Helena Schaffner*



Dr. Paola Andrade Torres

RAZON: Es compulsada de la copia que en
foja (s) me fue presentada
Quito a,

25 ENE. 2013

Dra. PAOLA ANDRADE TORRES
NOTARIA GUADALUPE (C)