

INVESTMENT POLICY STATEMENT

1. FUND IDENTIFICATION

Fund Name: **SRI FUND**
 Fund Number: **1000**
 Fund Type: **Equity**
 Fund Manager: **John Doe**
 Fund Sponsor: **ABC Investment Company**

2. INVESTMENT OBJECTIVE

The primary objective of the fund is to achieve long-term capital appreciation by investing in companies that are leaders in their respective industries and have a strong commitment to social and environmental responsibility.

3. INVESTMENT STRATEGY

The fund will invest in a diversified portfolio of equity securities, primarily in the United States, with a focus on companies that have a strong track record of financial performance and a commitment to social and environmental responsibility. The fund will use a bottom-up investment approach, focusing on individual companies and their underlying business fundamentals.

4. RISK TOLERANCE

The fund has a moderate risk tolerance, seeking to achieve its investment objectives while managing risk. The fund will invest in a diversified portfolio of equity securities, primarily in the United States, with a focus on companies that have a strong track record of financial performance and a commitment to social and environmental responsibility.

5. ETHICAL SCREENING CRITERIA

The fund will exclude investments in companies that are involved in the following activities:

- Weapons manufacturing and sales
- Nuclear power generation
- Alcohol, tobacco, and gambling
- Adult entertainment
- Human rights violations
- Environmental damage

6. PORTFOLIO MANAGEMENT

The fund will be managed by a professional investment manager, who will be responsible for the day-to-day management of the fund's portfolio. The manager will be required to provide regular reports to the fund's investors, including information on the fund's performance, holdings, and compliance with its investment policy.

7. SIGNATURES

Investment Manager: **John Doe**
 Fund Sponsor: **ABC Investment Company**

8. DATE

The investment policy statement was last updated on **12/31/2023**.